PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/700300-Conf. #2702 Filing Date TRANSMITTAL October 31, 2003 First Named Inventor **FORM** Marvin L. VESTAL Art Unit 2881 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned **Attorney Docket Number** 1 Total Number of Pages in This Submission SY9-195 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** Terminal Disclaimer identify below): References C1 and C2 Certificate of 1st class mail Post Card **Express Abandonment Request** Request for Refund x | Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

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Dated: May 24, 2004

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Date

Individual name

Signature

Signature:

LAHIVE & COCKFIELD, LLP Michael J. Bastian, Ph.D. - 47,411

May 24, 2004

(Michael J. Bastian, Ph.D.)

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Dated: May 24, 2004

e: // (Michael | Bastian Ph.D.)

Docket No.: SY9-195

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Marvin L. Vestal et al.

Application No.: 10/700300

Filed: October 31, 2003 Art Unit: 2881

ION SOURCE AND METHODS FOR MALDI MASS SPECTROMETRY

Examiner: Not Yet Assigned

Confirmation No.: 2702

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

For:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Copies of the Non-Patent Literature Document references C1 and C2 are enclosed.

Copies of the U.S. Patent Document references on the PTO/SB/08 are not provided in accordance with the Official Notice: "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003", 1273 Off. Gaz. Pat. Office 55 (August 5, 2003).

Application No.: 10/700300 Docket No.: SY9-195

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. SY9-195. A duplicate copy of this paper is enclosed.

Dated: May 24, 2004

Respectfully submitted,

Michael J. Bastian, Ph.D. Registration No.: 47,411

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Application No. (if known): 10/700300

Attorney Docket No.: SY9-195

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

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Complete if Known					
Application Number 10/700300-Conf. #2702					
Filing Date	October 31, 2003				
First Named Inventor	Marvin L. VESTAL				
Art Unit 2881					
Examiner Name	Not Yet Assigned				
Attorney Docket Number	SY9-195				

	U.S. PATENT DOCUMENTS					
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		
	A1	US-RE37,485	12-25-2001	Vestal		
	A2	US-5,498,545	03-12-1996	Vestal		
	A3	US-5,625,184	04-29-1997	Vestal et al.		
	A4	US-5,627,369	05-06-1997	Vestal et al.		
A5 US-5,821,063		US-5,821,063	10-13-1998	Patterson et al.		
	A6	US-5,864,137	01-26-1999	Becker et al.		
	A7	US-5,955,731	09-21-1999	Bergmann et al.		
	A8	US-6,002,127	12-14-1999	Vestal et al.		
	A9	US-6,057,543	05-02-2000	Vestal et al.		
	A10	US-6,281,493	08-28-2001	Vestal et al.		
	A11	US-6,348,688	02-19-2002	Vestal		
	A12	US-6,441,369	08-27-2002	Vestal et al.		
	A13	US-6,504,150	01-07-2003	Verentchikov et al.		
	A14	US-6,512,225	01-28-2003	Vestal et al.		
	A15	US-6,534,764	03-18-2003	Verentchikov et al.		
	A16	US-6,541,765	04-01-2003	Vestal		
	A17	US-6,545,268	04-08-2003	Verentchikov et al.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bo magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cit					
	C1	"High-Throughput STR Analysis by Time-of-Flight Mass Spectrometry," John M. Butler, Kathryn M. Stephens, Joseph A. Monforte, Christopher H. Becker, GeneTrace Systems Inc., 1401 Harbor Bay Parkway, Alameda, CA 94502, downloaded August 2003 from www.promega.com.				
	C2	"STR Analysis by Time-of-Filght Mass Spectrometry" By John M. Butler, Ph.D. GeneTrace Systems Inc., 1401 Harbor Bay Parkway, Alameda, CA, downloaded August 2003 from www.promega.com				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner		Date	
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